RESPONSIBILITIES

ASN(RD&A)

- Provide PESHE guidance across DON.
- Concur with Acquisition Strategy (with PESHE) when OSD is MDA.
- Approve Acquisition Strategy (with PESHE) when ASN(RDA) is MDA.

PEOs/SYSCOM Commanders

- Concur with Acquisition Strategy (with PESHE) when OSD or ASN(RDA) is MDA.
- Approve Acquisition Strategy (with PESHE) when PEO/SYSCOM is MDA.

Program Managers

- Prepare Acquisition Strategy (with PESHE).
- Staff the draft Acquisition Strategy (with PESHE) through appropriate offices (e.g., SYSCOM/PEO/ASN(RDA)).
- Consider preparation of ESH Master Plan. Although recommended, this is optional & at the discretion of PM/PEO.

MDA EXPECTS:

- Discussion of the five ESH considerations, with emphasis on items of interest for the MDA.
- Coverage of the three ESH management areas: how ESH requirements are met, who is responsible for them, & how progress is tracked.
- Details of MDA-level actions anticipated (e.g., NEPA document approval, hazard acceptance).

DOD 5000.2-R REQUIREMENTS

SECTION 3.3 Acquisition Strategy

- Overall program execution roadmap from program initiation to post production support.
- Becomes more definitive as program matures.
- PM develops, ASN(RDA) & PEO/SYSCOM (as appropriate) concur & MDA approves before milestone review.
- Includes ESH as a critical element.

SECTION 3.3.7 ESH Considerations

- PESHE shall be in the Acquisition Strategy.
- PESHE initiated at earliest possible time & updated throughout program's life cycle.
- Requires inclusion of the ESH requirements contained in Section 4.3.7.
- Requires three management areas: how these requirements will be met, who is responsible for them, & how progress will be tracked.

SECTION 4.3.7 Environment, Safety, and Health

- Applies to all programs, regardless of Acquisition Category (ACAT).
- Requires ESH analyses to integrate ESH issues into the systems engineering process & to support PESHE development.
- Includes five ESH issues: NEPA, Environmental Compliance, System Safety & Health, Hazardous Materials, & Pollution Prevention.

References:
DOD 5000.2-R AND
SECNAVINST 5000.2B

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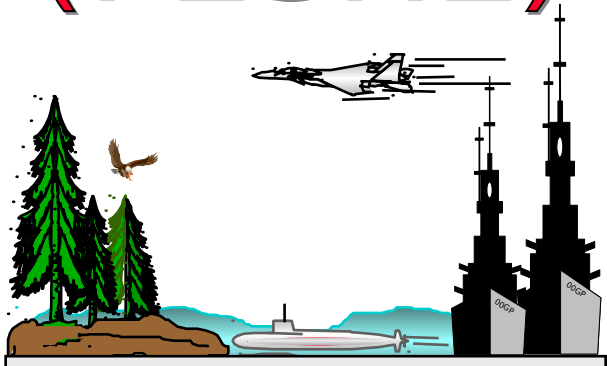
DEPARTMENT OF THE NAVY

PREPARING A PROGRAMMATIC ENVIRONMENTAL SAFETY & HEALTH EVALUATION (PESHE)



ASN(RD&A)
Acquisition and Business Management

PESHE PREPARATION TIPS

- Do not duplicate program information in the PESHE Section that is included elsewhere in the Acquisition Strategy.
- Keep the information in the PESHE focused on the MDA level of management.
- Highlight ESH issues from the last phase and address the important ESH issues for the next phase.
- Policy does not require a specific PESHE format. The PESHE must address the five issues in Section 4.3.7 and the management areas in Section 3.3.7.
- A PESHE might follow this format:

1. ***NEPA***
   A. Strategy for Compliance. Describe how NEPA influenced decision-making in previous phase and how it will be used in next phase.
   B. Responsibilities. Address the NEPA documentation & approval during previous phase & who will need to approve anticipated NEPA documentation in the next phase.
   C. Tracking Progress. Describe NEPA tracking techniques (e.g., the Program's Administrative Record & its contents).
2. ***ESH Compliance***
   A. Strategy for Compliance. Address how ESH laws & regulations have impacted the design & life cycle aspects of the program.
   B. Responsibilities. Address User's role in influencing ESH-related design issues.
   C. Tracking Progress. Baseline the
3. ***System Safety & Health***
   A. Strategy for Compliance. Describe how ESH hazards are identified, assessed, mitigated, & accepted (e.g., tenets of MIL-STD-882C). Address high & serious hazards remaining from previous phase & how they will be mitigated/accepted in the next phase.
   B. Responsibilities. Describe the contractor's role & include any high & serious hazards that must be accepted.
   C. Tracking Progress. Describe ESH hazards tracking techniques (e.g., an ESH hazards tracking data base).
4. ***Hazardous Materials Management***
   A. Strategy for Compliance. Describe how the program eliminates/reduces reliance on hazardous materials (e.g., tenets of NAS-411).
   B. Responsibilities. Describe the contractor's role & include hazardous materials remaining in the design.
   C. Tracking Progress. Describe hazardous materials tracking techniques (e.g., Hazardous Materials Management Program Plan & Reports).
5. ***Pollution Prevention (PP)***
   A. Strategy for Compliance. Describe how the program prioritizes pollution prevention initiatives (e.g., hierarchy of PP Act & tenets of EO 13101).
   B. Responsibilities. Describe the contractor's role & include specific PP Program initiatives.
   C. Tracking Progress. Describe how Total Ownership Costs (TOC) are

Frequently Asked Questions & Answers

Q. Is the PESHE a stand-alone document?

A. The PESHE is not a stand-alone document, but rather a critical element of the acquisition strategy. Some programs have prepared detailed stand-alone documents and have labeled them "PESHE" but these are more properly described as ESH Master Plans (ESHMPs).

Q. What are the differences between a PESHE and an ESH Master Plan?

A. There are a few important differences: 1. Purpose: The PESHE, as a *required* element of Acquisition Strategy, helps the MDA understand that the ESH portion of the program is properly managed. The ESHMP is an *optional* plan & helps PMs document & manage the ESH aspects of their programs.

2. Approval: The MDA approves the Acquisition Strategy (with the PESHE). As an internal program plan, the PM approves the ESHMP. 3. Focus: The PESHE focuses in on the big issues of concern to the MDA (NEPA documentation that might require MDA approval, high or serious hazards that might require MDA acceptance.) The ESHMP includes all aspects of the program's ESH efforts. 4. Length: PMs have successfully transitioned MS review with PESHE sections of three to five